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Agenda

- Introduction - Academy of Finance & CISI
- Risk in Financial Services
- Learning content of the program
- Assessment mechanism
- Financial commitment
- Code of conduct
- Get to know - Module facilitator
- Get to know - Academy of Finance staff
- Get to know – Colleagues in the class
- The way forward

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RISK

FINANCIAL SERVICES



INTERNATIONAL CERTIFICATE IN WEALTH & INVESTMENT MANAGEMENT (ICWIM-UK)



RISK IN FINANCIAL SERVICES

THE ESSENTIAL QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER



DURATION : 5 MONTHS

Risk in Financial Services qualification is a comprehensive global introduction to major risk areas in financial services.

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

WHO SHOULD STUDY?

- Risk teams
- Finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Existing & aspiring non-executive directors

Candidates upon completion are eligible to use **ACSI** designation



WORLD WIDE ZOOM classes

Online exams

MORE INFO : 0772 840 850






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Risk in Financial Services

The Essential Qualification for Tomorrow's Risk Practitioner



Who should study?

- Risk teams and finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Senior managers of all disciplines
- Existing and aspiring non-executive directors

Completed candidates can become CISI Associate Members and use the ACSI designation.

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

DURATION : 5 MONTHS | NEXT INTAKE MAY







CONTACT : 077 284 0850
yamen@academy.ae









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What is CISI?

Chartered Institute for Securities and Investments

- The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and financial centers globally.
- Formed in 1992 by the London Stock Exchange for practitioners, CISI has a global community of 45,000 members in over 100 countries.

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 RISK FINANCIAL SERVICES
 INTERNATIONAL CERTIFICATE IN WEALTH & INVESTMENT MANAGEMENT (ICWIM-UK)

CISI is completing 15 years in Sri Lanka



CMA (Australia) **International Institute of Business Analysis** **Quantiply** **ACADEMY OF FINANCE**
 The Gurus of Financial Wisdom

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CISI CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

14 YEARS

ACADEMY OF FINANCE
 The Gurus of Financial Wisdom

CMA (Australia) **International Institute of Business Analysis** **Quantiply** **ACADEMY OF FINANCE**
 The Gurus of Financial Wisdom

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Tracy Vergo OBE
Chief Executive Officer CISI

Michael Fernandopulle
Country Head for Sri Lanka

Kapila Dodamgoda
Founder/Managing Director
The Academy of Finance




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Mr. Jhon Preston
Chief Operating Officer CISI

Mr. Kevin Moore
Executive Director CISI



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Tracy Vergo OBE, The CEO of CISI at the CMA ANZ Qualifying Program.

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CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

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CISI CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT **Global Financial Compliance**

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CMA (Australia) **International Institute of Business Analysis** **International Institute of Finance** **ACADEMY OF FINANCE**
The School of Finance Success

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CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

RISK FINANCIAL SERVICES

PATHWAYS TO A GLOBAL JOURNEY WITH CISI

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

- Financial Analyst
- Internal Contoller
- Clearing Officer
- Promoter
- Anti-Money Laundering Officer
- Risk Management Officer
- Investment Manager/Chief
- Compliance Officer
- Trading/Operations Manager

Similar opportunities are available in several other GCC countries

CISI ACCREDITED TRAINING PARTNER
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

INTERNATIONAL CERTIFICATE IN WEALTH AND INVESTMENT MANAGEMENT (ICWIM)

ICWIM is the pathway to CHARTERED WEALTH MANAGER QUALIFICATION

Candidates upon completion are eligible use to **ACSI** designatory letters and progress to higher levels.

ACADEMY OF FINANCE The School of Finance Success **+94 772 840 850** **WORLD WIDE** **DURATION : 5 MONTHS**
Yameera@academy.lk **JOIN THE NEXT INTAKE MARCH**

CMA (Australia) **International Institute of Business Analysis** **International Institute of Finance** **ACADEMY OF FINANCE**
The School of Finance Success

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Academy of Finance

Founded fifteen years ago with the vision of
Empowering the next generation professional with
financial wisdom




Mission

- Training and enriching non-finance professionals to become finance savvy and,
- Providing development opportunities and higher qualifications for finance professionals.











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Introduction – Kapila Dodamgoda

Founder/Managing Director - Academy of Finance
Regional Director – ICMA Australia (Sri Lanka)
Director – Vallibel Finance
Former Director – National Engineering Research and Development
Centre (NERD)

MA Economics
Fellow CMA(Australia)
FCMA, CGMA
B Sc Engineering, AMIESL
MCIM

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What is Risk in Financial Services Qualification?

- Risk in Financial Services Qualification is a comprehensive global introduction to the major risk areas in financial services.
- It provides a sound grounding in the principles of the risk management framework, corporate governance and risk oversight.

Eligible to apply for **ACSI** designation






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The CISI's Qualifications and Membership Framework 2017






Membership: There is a ladder of progression for members of the Institute from **Students** to **Associates** to **Members** and ultimately to **Chartered Members** and **Chartered Fellows** (depending on qualifications gained).

1. This role is a guide only. Qualifications which are regulated by Ofqual and appear on the Regulated Qualifications Framework (RQF) are marked.
2. The RQF is an overarching qualifications reference framework that links the qualifications of different countries together. Qualifications that are appropriate for the European Securities and Markets Authority (ESMA) guidelines on knowledge and competence are marked.
3. Cap-Ex required for ICD/IFA.









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Who Should Study?

This qualification is aimed at;

- Risk teams
- Branch management
- Corporate lawyers
- Finance officers
- Senior managers of all disciplines
- Existing and aspiring Non-executive Directors

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What are the Module Contents?

1. The risk management framework
2. International risk regulation
3. Operational risk
4. Credit risk
5. Market risk
6. Investment risk
7. Liquidity risk
8. Corporate governance and risk
9. Model risk
10. Enterprise risk management






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Practicing License – UAE SCA

UAE Securities and Commodities Authority Qualifications- Led Licensing Regime				
Job Function	Exams Required for SCA Licence		Continuous Professional Development	
Director/ Head of Category	UAE Financial Rules and Regulations			
Chief Compliance Officer	UAE Financial Rules and Regulations	Combating Financial Crime	Introduction to Securities & Investment (International)	Global Financial Compliance
Chief Risk Management Officer	UAE Financial Rules and Regulations	Risk in Financial Services	Introduction to Securities & Investment (International)	Operational Risk
Head of Compliance	UAE Financial Rules and Regulations	Combating Financial Crime	Global Financial Compliance	
Head of Risk Management	UAE Financial Rules and Regulations	Risk in Financial Services	Combating Financial Crime	Derivatives
Operation Manager	UAE Financial Rules and Regulations	Global Securities Operation	Introduction to Securities & Investment (International)	Operational Risk
Investment Operation Manager	UAE Financial Rules and Regulations	Global Securities Operation	Introduction to Securities & Investment (International)	Risk in Financial Services
Trading Manager	UAE Financial Rules and Regulations	Fundamentals Of Financial Services	Derivatives	
Broker Representative	UAE Financial Rules and Regulations	Fundamentals Of Financial Services		


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INTERNATIONAL CERTIFICATE IN WEALTH & INVESTMENT MANAGEMENT (ICWIM-UK)

Practicing License – UAE SCA

UAE Securities and Commodities Authority Qualifications- Led Licensing Regime				
Job Function	Exams Required for SCA Licence		Continuous Professional Development	
Financial Advisor (IPO)	UAE Financial Rules and Regulations	Corporate Finance Technical Foundation	Risk in Financial Services	
Financial Analyst/ Chief Financial Analysts (Capital Market)	UAE Financial Rules and Regulations	International Certificate in Wealth and Investment Management (Level 3)	Risk in Financial Services	Derivatives
Fund Investment Manager/ Portfolio Manager	UAE Financial Rules and Regulations	International Certificate in Wealth and Investment Management (Level 3)	Risk in Financial Services	Wealth Management Practice
Promotion Manager	UAE Financial Rules and Regulations	International Certificate in Wealth and Investment Management (Level 3)	Introduction to Securities & Investment (International)	Risk in Financial Services
Chief Technology Officer (CTO)	UAE Financial Rules and Regulations	IT in Investment Operation	Introduction to Securities & Investment (International)	
Internal Auditor	UAE Financial Rules and Regulations	Operational Risk	Introduction to Securities & Investment (International)	
Credit Rating Analyst/ Head of Credit Rating Analyst	UAE Financial Rules and Regulations	Risk in Financial Services	Corporate Finance Technical Foundations	

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CISI Supporters..

ACREDITED TRAINING PARTNER

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INTERNATIONAL CERTIFICATE IN WEALTH & INVESTMENT MANAGEMENT (ICWIM-UK)

SEC SECURITIES AND EXCHANGE COMMISSION OF SRI LANKA

HSBC

NDB

HNB

DFCC BANK

citi

COMMERCIAL BANK

London Stock Exchange Group

virtusa Accelerating Business Outcomes

SLASSCOM THE KNOWLEDGE AND INNOVATION CHAMBER

MillenniumIT (ASB Technology)

namal

ASIA SECURITIES (PRIVATE) LIMITED

ASIA SECURITIES (PRIVATE) LIMITED

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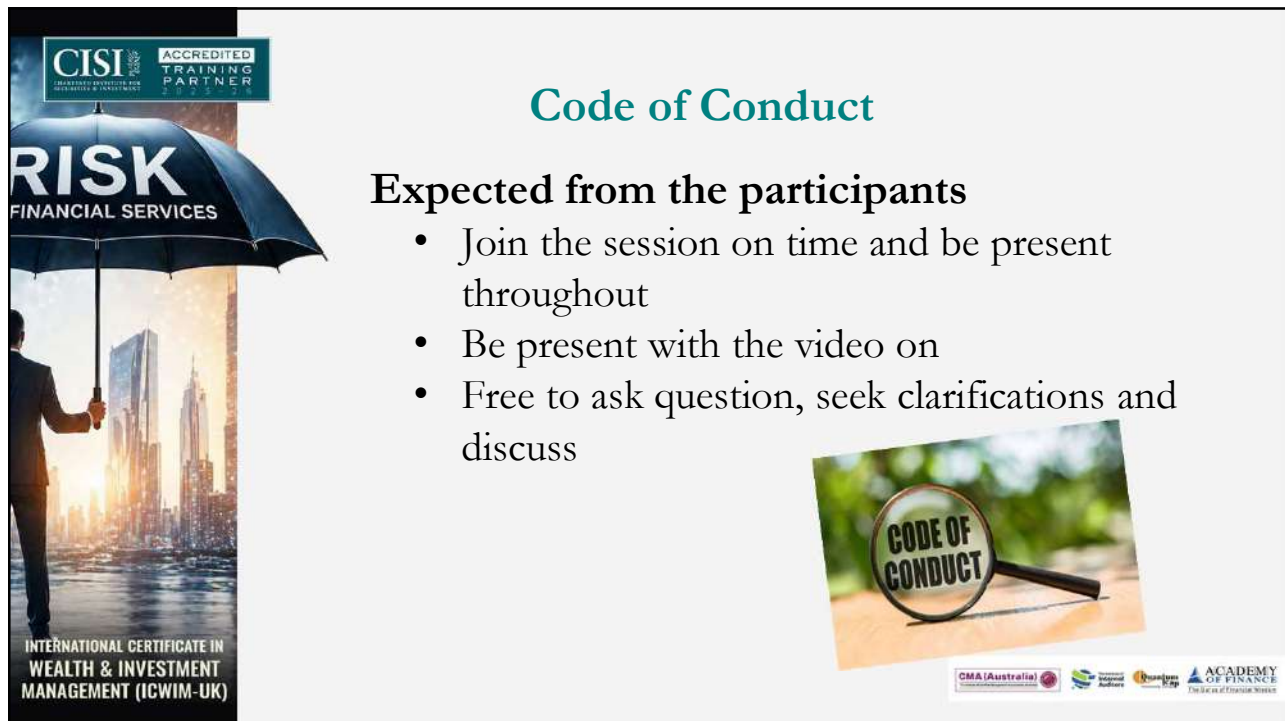
First Capital

WNS Empowering Your Enterprise

ACUITY A joint venture of DFCC Bank and HNB

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Code of Conduct




Expected from the participants

- Join the session on time and be present throughout
- Be present with the video on
- Free to ask question, seek clarifications and discuss

CODE OF CONDUCT




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Academy of Finance Letter of Participation

- Minimum attendance of 75%
- Participation in all three Mock Examinations
- Minimum 50% marks for the Mock Examinations

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Facilitator



CHAAMINDA KUMARASIRI

FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.





Chaaminda Kumarasiri is an award-winning finance professional, senior chartered accountant, and thought leader. With a distinguished career spanning leadership roles across leading local institutions and multinational corporations, he is recognized as a trusted advisor, corporate trainer, and management consultant.

He is the Founder and President of the Asia Pacific Institute of Money and Entrepreneurship Development, Founder of The Human Capital Partner, and Chairman & Principal Consultant of H C P Consulting (Pvt.) Ltd. In addition to these leadership roles, he serves as an Independent Non-Executive Director on the boards of several listed companies and contributes to the governing boards of numerous professional institutions and academic bodies.





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Facilitator

CHAAMINDA KUMARASIRI

**FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.**

He is a Fellow Member of the Institute of Chartered Accountants of Sri Lanka, Association of Chartered Certified Accountants, Association of Accounting Technicians of Sri Lanka, and Institute of Certified Management Accountants of Sri Lanka.

Academically, he earned a B.Sc. in Accountancy (Special) with First-Class Honours from the University of Sri Jayewardenepura, where he was also the Gold Medalist for Overall Excellence, and completed an MBA in Finance with two Gold Medals from the University of Colombo. His professional achievements have been recognized with numerous accolades, including the ACCA National Advocate of the Year Award.

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Facilitator

CHAAMINDA KUMARASIRI

**FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.**

His corporate experience includes senior leadership roles such as Group Managing Director of The Lanka Hospitals Corporation PLC, Financial Controller at Bank of Ceylon, Chief Financial Officer at The Lanka Hospitals Corporation PLC, Assistant Vice President at HSBC Securities Services, and Senior Manager – Assurance and Advisory at Ernst & Young. He has also served as a Commission Member of the Telecommunications Regulatory Commission of Sri Lanka.

He served in the Governing Council Member of the Institute of Chartered Accountants of Sri Lanka and the South Asia region on the International Panel on Accountancy Education (IPAE) of the International Federation of Accountants. He also served as the Chairman of the ACCA Sri Lanka Member Network





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Ruwan Manjula Samarakody
Bank of Ceylon
Assistant General Manager
(Internal Risk & Operational Risk)



Aruna Bandara Tennakoon
Commercial Bank of Ceylon PLC
Regional Manager



Iraj Hugh Krishan Wickremasinghe
Commercial Bank of Ceylon PLC – Dambulla Branch
Branch Manager



Chithrangi Rajini Kumari Wijekoon
Commercial Bank of Ceylon PLC
Manager Anuradha Branch

DURATION : 05 MONTHS

Candidates upon completion are eligible to use **ACSI** designation.

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WHO SHOULD ATTEND?

- Risk teams
- Finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Existing & aspiring non-executive directors



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Online exams

WORLD WIDE 200+11 centres



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RISK IN FINANCIAL SERVICES



Rajith Jayawardana
Executive – Post Credit and Integrated Risk
Sampath Bank



Jude Perera
Manager Risk Services
Risk Management Unit
Seylan Bank PLC



U.S. Sulakshi Wickramatunge
Regional Manager
Corporate and Retail
MCB Bank Limited

DURATION : 05 MONTHS

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Online exams


WORLD WIDE 200+11 centres





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Get to Know Academy Staff




Yameera







Pavithra




Harshini





Hashanika




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Get to Know Academy Staff







Upeksha




Himali




Ramya




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Get to Know Academy Staff




Madhavi




Renuka

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Academy of Finance Study Package


- CISI official text
- Virtual classes – minimum 50 hours
- Access to recorded sessions
- Mini quizzes
- Revision portal with topic-wise quizzes
- Three mock examinations at the end

Class Timing Tuesday - 7.00 pm to 9.30 pm


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What is the assessment mechanism?

Computer based examination

- Two-hour paper
- 100 multiple-choice questions
- Pass mark of 70%



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MANAGEMENT (ICWIM-UK)


How much should you pay CISI?

- CISI Registration Fee
£ 31 ~~£ 62 (for Sri Lanka)~~
- CISI Examination Fee
£ 182 ~~£ 403 (for Sri Lanka)~~
- CISI Membership Fee
£ 110

Completion time

5

Months








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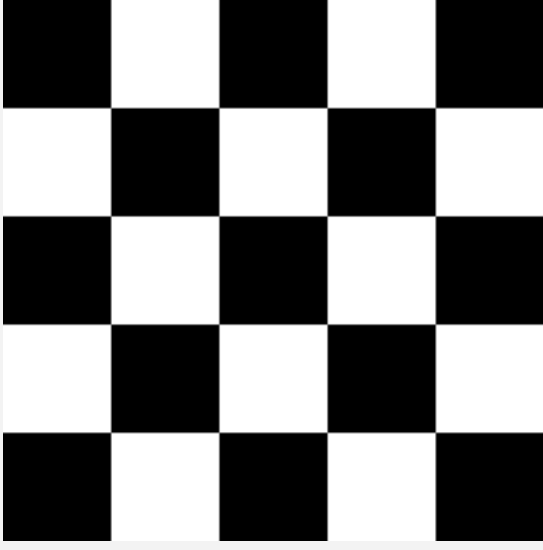



Get to Know Your Class



- Your Name,
- Place of Work
- Designation



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What is the Next Step

- If you are already registered
Get access to the learning portal 
- If you are interested, not yet registered
Contact us for registration 




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Questions and Answers

Q&A

Questions Answers



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Registration with the CISI within 15th June 2026
Examination Window in December 2026



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