



1

Agenda

- Introduction - Academy of Finance & CISI
- Risk in Financial Services
- Learning content of the program
- Assessment mechanism
- Financial commitment
- Code of conduct
- Get to know - Module facilitator
- Get to know - Colleagues who have succeeded
- Get to know - Academy of Finance staff
- Get to know - Colleagues in the class
- The way forward

THE QUALIFICATION

 FOR TOMORROW'S RISK PRACTITIONER

2



RISK IN FINANCIAL SERVICES





RISK IN FINANCIAL SERVICES

THE ESSENTIAL QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER



DURATION : 5 MONTHS

Risk in Financial Services qualification is a comprehensive global introduction to major risk areas in financial services.

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

WHO SHOULD STUDY?

- Risk teams
- Finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Existing & aspiring non-executive directors

Candidates upon completion are eligible to use **ACSI** designation



WORLD WIDE

zoom classes

Online exams

MORE INFO : 0772 840 850

THE QUALIFICATION

FOR TOMORROW'S RISK PRACTITIONER

3



RISK IN FINANCIAL SERVICES





RISK IN FINANCIAL SERVICES

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

WHO SHOULD ATTEND?

- Risk teams
- Finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Existing & aspiring non-executive directors

INDUCTION & FIRST LECTURES

FREE ONLINE

zoom session 28TH OF MAY

FROM 7.00 PM ONWARDS

Risk in Financial Services qualification is a comprehensive global introduction to major risk areas in financial services.

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).



WORLD WIDE

zoom classes

Online exams

More info: +94 77 710 2449

cma.australia@academy.lk

JOIN THE NEXT INTAKE MAY




Duration : 5 Months



THE QUALIFICATION

FOR TOMORROW'S RISK PRACTITIONER

4

What is CISI?

Chartered Institute of Securities and Investments (CISI)

- The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and financial centers globally.
- Formed in 1992 by the London Stock Exchange for practitioners, CISI has a global community of 45,000 members in over 100 countries.

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

5







THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

6



CISI ACCREDITED TRAINING PARTNER
2023-2024

RISK IN FINANCIAL SERVICES

CISI is completing 14 years in Sri Lanka

ACADEMY OF FINANCE
The Gurus of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

7



CISI ACCREDITED TRAINING PARTNER
2023-2024

RISK IN FINANCIAL SERVICES

CISI
CHARTERED INSTITUTE FOR
SECURITIES & INVESTMENT

14 YEARS

ACADEMY OF FINANCE
The Gurus of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

8



**RISK IN
FINANCIAL
SERVICES**





Tracy Vergo OBE
Chief Executive Officer CISI

Michael Fernandopulle
Country Head for Sri Lanka

Kapila Dodamgoda
Founder/Managing Director
The Academy of Finance

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

9



**RISK IN
FINANCIAL
SERVICES**





Mr. Jhon Preston
Chief Operating Officer CISI



Mr. Kevin Moore
Executive Director CISI

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

10



CISI ACCREDITED TRAINING PARTNER

RISK IN FINANCIAL SERVICES

ACADEMY OF FINANCE
The Gurus of Financial Wisdom

Tracy Vergo OBE, The CEO of CISI at the CMA ANZ Qualifying Program.

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

11



CISI ACCREDITED TRAINING PARTNER

RISK IN FINANCIAL SERVICES

ACADEMY OF FINANCE
The Gurus of Financial Wisdom

CISI
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

INTERNATIONAL CERTIFICATE IN WEALTH & INVESTMENT MANAGEMENT (ICWIM)

ACADEMY OF FINANCE
The Gurus of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

12



CISI ACCREDITED TRAINING PARTNER
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

RISK IN FINANCIAL SERVICES

CISI Global Financial Compliance
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

ACADEMY OF FINANCE
The Oasis of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

13



CISI ACCREDITED TRAINING PARTNER
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

RISK IN FINANCIAL SERVICES

PATHWAYS TO A GLOBAL JOURNEY WITH CISI

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

- Financial Analyst
- Internal Controller
- Clearing Officer
- Promoter
- Anti-Money Laundering Officer
- Risk Management Officer
- Investment Manager/Chief
- Compliance Officer
- Trading/Operations Manager

Similar opportunities are available in several other GCC countries

CISI ACCREDITED TRAINING PARTNER
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

INTERNATIONAL CERTIFICATE IN WEALTH AND INVESTMENT MANAGEMENT (ICWIM)

ICWIM is the pathway to CHARTERED WEALTH MANAGER QUALIFICATION

Candidates upon completion are eligible use to **ACSI** designatory letters and progress to higher levels.

ACADEMY OF FINANCE
The Oasis of Financial Wisdom

+94 772 840 850
yameera@academy.jk

WORLD WIDE
200+ offices
Online exams

DURATION : 5 MONTHS
JOIN THE NEXT INTAKE MARCH

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

14





Academy of Finance

Founded fifteen years ago with the vision of



Empowering the next generation professional with financial wisdom

Mission

- Training and enriching non-finance professionals to become finance savvy and,
- Providing development opportunities and higher qualifications for finance professionals.

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

15


Introduction – Kapila Dodamgoda

Founder/Managing Director - Academy of Finance
 Regional Director – ICMA Australia (Sri Lanka)
 Director – Vallibel Finance
 Former Director – National Engineering Research and Development Centre (NERD)

MA Economics
 Fellow CMA(Australia)
 FCMA, CGMA
 B Sc Engineering, AMIESL
 MCIM



THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

16



RISK IN FINANCIAL SERVICES



What is Risk in Financial Services Qualification?

- Risk in Financial Services Qualification is a comprehensive global introduction to the major risk areas in financial services.
- It provides a sound grounding in the principles of the risk management framework, corporate governance and risk oversight.

Eligible to apply for ACSI designation


THE QUALIFICATION

FOR TOMORROW'S RISK PRACTITIONER


17



18



RISK IN FINANCIAL SERVICES




Practising License – UAE SCA

UAE Securities and Commodities Authority Qualifications- Led Licensing Regime				
Job Function	Exams Required for SCA Licence		Continuous Professional Development	
Director/ Head of Category	UAE Financial Rules and Regulations			
Chief Compliance Officer	UAE Financial Rules and Regulations	Combating Financial Crime	Introduction to Securities & Investment (International)	Global Financial Compliance
Chief Risk Management Officer	UAE Financial Rules and Regulations	Risk in Financial Services	Introduction to Securities & Investment (International)	Operational Risk
Head of Compliance	UAE Financial Rules and Regulations	Combating Financial Crime	Global Financial Compliance	
Head of Risk Management	UAE Financial Rules and Regulations	Risk in Financial Services	Combating Financial Crime	Derivatives
Operation Manager	UAE Financial Rules and Regulations	Global Securities Operation	Introduction to Securities & Investment (International)	Operational Risk
Investment Operation Manager	UAE Financial Rules and Regulations	Global Securities Operation	Introduction to Securities & Investment (International)	Risk in Financial Services
Trading Manager	UAE Financial Rules and Regulations	Fundamentals Of Financial Services	Derivatives	
Broker Representative	UAE Financial Rules and Regulations	Fundamentals Of Financial Services		


Page: 1/2

THE QUALIFICATION
FOR TOMORROW'S RISK PRACTITIONER

19



RISK IN FINANCIAL SERVICES






Practicing License – UAE SCA

UAE Securities and Commodities Authority Qualifications- Led Licensing Regime				
Job Function	Exams Required for SCA Licence		Continuous Professional Development	
Financial Adviser (IPO)	UAE Financial Rules and Regulations	Corporate Finance Technical Foundation	Risk in Financial Services	
Financial Analyst/ Chief Financial Analysts (Capital Market)	UAE Financial Rules and Regulations	International Certificate in Wealth and Investment Management (Level 3)	Risk in Financial Services	Derivatives
Fund Investment Manager/ Portfolio Manager	UAE Financial Rules and Regulations	International Certificate in Wealth and Investment Management (Level 3)	Risk in Financial Services	Wealth Management Practice
Promotion Manager	UAE Financial Rules and Regulations	International Certificate in Wealth and Investment Management (Level 3)	Introduction to Securities & Investment (International)	Risk in Financial Services
Chief Technology Officer (CTO)	UAE Financial Rules and Regulations	IT in Investment Operation	Introduction to Securities & Investment (International)	
Internal Auditor	UAE Financial Rules and Regulations	Operational Risk	Introduction to Securities & Investment (International)	
Credit Rating Analyst/ Head of Credit Rating Analyst	UAE Financial Rules and Regulations	Risk in Financial Services	Corporate Finance Technical Foundations	

Page: 2/2

THE QUALIFICATION
FOR TOMORROW'S RISK PRACTITIONER

20



Who Should Study?

This qualification is aimed at;

- Risk teams
- Branch management
- Corporate lawyers
- Finance officers
- Senior managers of all disciplines
- Existing and aspiring Non-executive Directors

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

21


What are the Module Contents?

1. The risk management framework
2. International risk regulation
3. Operational risk
4. Credit risk
5. Market risk
6. Investment risk
7. Liquidity risk
8. Corporate governance and risk
9. Model risk
10. Enterprise risk management

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

22

CISI Supporters..

RISK IN FINANCIAL SERVICES

ACADEMY OF FINANCE
The Oasis of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

23

Code of Conduct


Expected from the participants

- Join the session on time and be present throughout
- Be present with the video on
- Free to ask question, seek clarifications and discuss


ACADEMY OF FINANCE
The Oasis of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

24




**RISK IN
FINANCIAL
SERVICES**



Academy of Finance Letter of Participation

- Minimum attendance of 75%
- Participation in all three Mock Examinations
- Minimum 50% marks for the Mock Examinations



THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

25



**RISK IN
FINANCIAL
SERVICES**



Lead Facilitator



CHAAMINDA KUMARASIRI
FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.

Mr. Kumarasiri is a Senior Chartered Accountant, Management Consultant, Keynote Speaker, Business Advisor and a Corporate Trainer with a proven track record, holding senior leadership positions in leading local entities and multinationals.

He is the Founder/President of Asia Pacific Institute of Money and Entrepreneurship Development, the Founder/CEO of the Human Capital Partner, the Chairman/Principal Consultant of H C P Consulting (Pvt.) Ltd.

He serves as an Independent Non-Executive Director at Asia Asset Finance PLC and an advisor/consultant to a few leading Organizations both in the Private Sector and Public Sector. He also served on the International Panel on Accounting Education (IPAE), as the only representative from the entire South Asia. He has served on the Governing Council of CA Sri Lanka and currently serves as the Vice Chairman of ACCA Sri Lanka Member Network Panel.



THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

26



**RISK IN
FINANCIAL
SERVICES**





Lead Facilitator

CHAAMINDA KUMARASIRI

**FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.**

He is a Fellow member of The Institute of Chartered Accountants of Sri Lanka, The Association of Chartered Certified Accountants – UK, The Association of Accounting Technician of Sri Lanka and The Institute of Certified Management Accountants of Sri Lanka. He has obtained a B.Sc. Accountancy (Special) degree with a First Class honors from the University of Sri Jayewardenepura and holds an MBA in Finance from the University of Colombo.

He has been bestowed with ACCA National Advocate of the Year Award - 2022 for his exceptional commitment and dedication to promote the accountancy profession and its values.

As a leading Corporate Trainer he has inspired over 500,000 individuals both in Sri Lanka and overseas.



THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

27



**RISK IN
FINANCIAL
SERVICES**





Experience Sharing

Iraj Hugh Krishan Wickremasinghe

**Branch Manager – Dambulla
Commercial Bank of Ceylon PLC**



THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

28



RISK IN FINANCIAL SERVICES





RISK IN FINANCIAL SERVICES



Rajith Jayawardana
Executive & Post Credit and Integrated Risk Sampath Bank



Jude Perera
Manager Risk Services Risk Management Unit, Seylan Bank PLC



U.S. Sutekshi Wickramatunge
Regional Manager Corporate and FSU, ADB Bank Limited

DURATION : 05 MONTHS

Candidates upon completion are eligible to use **ACSI** designation.

Risk in Financial Services qualification is a comprehensive global introduction to major risk areas in financial services.

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

WHO SHOULD ATTEND?

- Risk teams
- Finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Existing & aspiring non-executive directors



ACADEMY OF FINANCE
The Gurus of Financial Wisdom








+94772 840 850




THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

29



RISK IN FINANCIAL SERVICES





RISK IN FINANCIAL SERVICES



Ruwan Manjula Samarakkody
Bank of Ceylon Assistant General Manager (Market Risk & Operational Risk)



Aruna Bandara Tennakoon
Commercial Bank of Ceylon PLC Regional Manager



Iraj Hugh Krishan Wickremasinghe
Commercial Bank of Ceylon PLC - Demakula Branch Branch Manager



Chithrangli Rajini Kumari Wijekoon
Commercial Bank of Ceylon PLC Manager Arinawatta Branch

DURATION : 05 MONTHS

Candidates upon completion are eligible to use **ACSI** designation.

Risk in Financial Services qualification is a comprehensive global introduction to major risk areas in financial services.

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

WHO SHOULD ATTEND?

- Risk teams
- Finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Existing & aspiring non-executive directors



ACADEMY OF FINANCE
The Gurus of Financial Wisdom








+94772 840 850




THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

30



**RISK IN
FINANCIAL
SERVICES**



Get to know Academy Staff



Yameera



Pavithra



Harshini



Thilini

THE QUALIFICATION

FOR TOMORROW'S RISK PRACTITIONER

31



**RISK IN
FINANCIAL
SERVICES**



Get to know Academy Staff



Rumaiza



Upeksha



Himali



Ramya

THE QUALIFICATION

FOR TOMORROW'S RISK PRACTITIONER

32



**RISK IN
FINANCIAL
SERVICES**



Get to know Academy Staff




Madhavi




Renuka

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

33




**RISK IN
FINANCIAL
SERVICES**



What is the assessment mechanism?

Computer based examination

- Two-hour paper
- 100 multiple-choice questions
- Pass mark of 70%



THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

34



**RISK IN
FINANCIAL
SERVICES**



How much should you pay CISI?

- CISI Registration Fee
£ 25 ~~£ 50 (for Sri Lanka)~~
- CISI Examination Fee
£ 190 ~~£ 377 (for Sri Lanka)~~
- CISI Membership Fee
£ 110




Completion time 5 Months




THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

35




**RISK IN
FINANCIAL
SERVICES**



Academy of Finance Study Package


- CISI official text
- Virtual classes – minimum 50 hours
- Access to recorded sessions
- Mini quizzes
- Revision portal with topic-wise quizzes
- Three mock examinations at the end

Class Timing Wednesday - 7.00 pm to 9.30 pm



THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

36



**RISK IN
FINANCIAL
SERVICES**



Get to Know Your Class




- Your Name,
- Place of Work
- Designation




THE QUALIFICATION

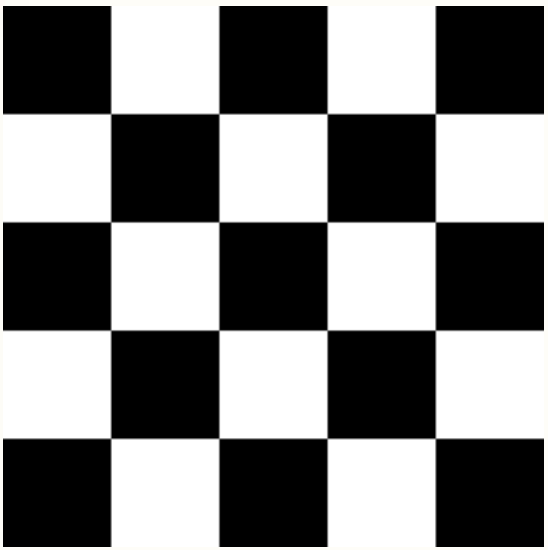
FOR TOMORROW'S RISK PRACTITIONER


37



**RISK IN
FINANCIAL
SERVICES**







THE QUALIFICATION

FOR TOMORROW'S RISK PRACTITIONER

38

CISI ACCREDITED TRAINING PARTNER

RISK IN FINANCIAL SERVICES

What is the Next Step

- **If you are already registered**
Get access to the learning portal
- **If you are interested, not yet registered**
Contact us for registration

ACADEMY OF FINANCE
The Quix of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

39

CISI ACCREDITED TRAINING PARTNER

RISK IN FINANCIAL SERVICES

Questions and Answers

Q&A
Questions Answers

ACADEMY OF FINANCE
The Quix of Financial Wisdom

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

40



Slide 41 features a vertical banner on the left with the CISI logo (COUNCIL OF INVESTMENT REGULATORS AND SECURITIES INSTITUTE) and the text "ACCREDITED TRAINING PARTNER 2023-2025". Below this, it says "RISK IN FINANCIAL SERVICES". At the bottom of the banner is the Academy of Finance logo with the tagline "The Gurus of Financial Wisdom". The main image shows a long, straight road stretching into the distance under a bright, hazy sky, with the text "THE JOURNEY BEGINS" written in large, white, hand-drawn letters across the road. Below the image, the text "Registration with the CISI within December 2025" and "Examination Window in December 2025" is displayed. At the bottom right, a dark blue box contains the text "THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER".

Registration with the CISI within December 2025

Examination Window in December 2025

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

41



Slide 42 features the same vertical banner on the left as slide 41. The main image shows the words "THANK YOU" in large, colorful, block letters, with several hands holding up the letters from below. Below the image, the Academy of Finance logo and tagline "The Gurus of Financial Wisdom" are displayed. At the bottom right, a dark blue box contains the text "THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER".

ACADEMY OF FINANCE
The Gurus of Financial Wisdom

THANK YOU

THE QUALIFICATION FOR TOMORROW'S RISK PRACTITIONER

42