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Agenda

- Introduction - Academy of Finance & CISI
- Risk in Financial Services
- Learning content of the programme
- Assessment mechanism
- Financial commitment
- Code of conduct
- Get to know - Lead module facilitator
- Get to know – Colleagues who have succeeded
- Get to know - Academy of Finance staff
- Get to know – Colleagues in the class
- Way forward

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**Risk in
Financial
Services**

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The Essential Qualification for
Tomorrow's Risk Practitioner

Join The Induction and First Lecture
01st Nov. at 7 pm

Completed candidates can become **CISI Associate
Members** and use the **ACSI** designation.

Combination of the CISI modules will make you eligible for
a practicing license under the UAE Securities and
Commodities Authority Licensing Regime (SCA).

DURATION : 5 MONTHS | NEXT INTAKE OCTOBER

Who should study?

- Risk teams and finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Senior managers of all disciplines
- Existing & aspiring non-executive directors

WORLD WIDE
200+ classes

Online exams

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cma.australia@academy.jk
0777102449

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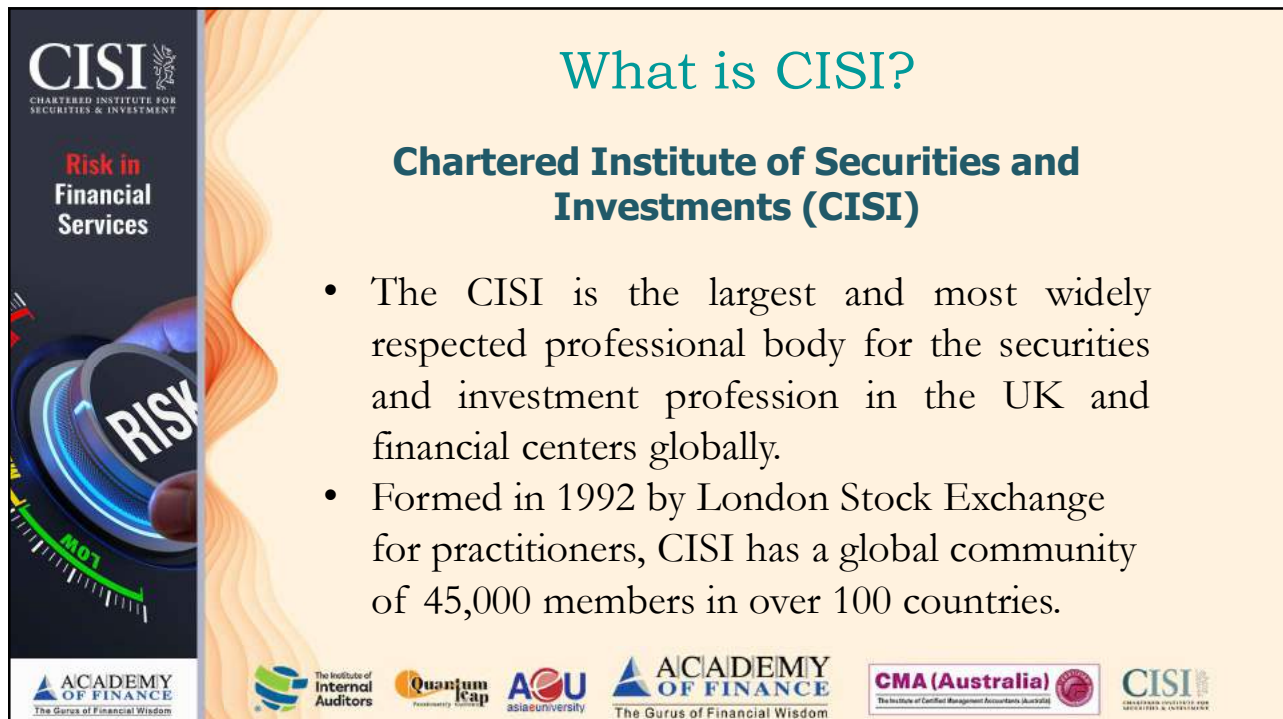
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**Risk in
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What is CISI?

**Chartered Institute of Securities and
Investments (CISI)**

- The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and financial centers globally.
- Formed in 1992 by London Stock Exchange for practitioners, CISI has a global community of 45,000 members in over 100 countries.

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The image shows the cover of the CISI Charter of Obligations. On the left, a vertical banner features the CISI logo and the text "Risk in Financial Services" above a "RISK" gauge. The main area contains the CISI logo and a photograph of a modern city skyline. At the bottom, a row of logos includes The Institute of Internal Auditors, Quantum Leap, AOU, The Academy of Finance, CMA (Australia), and CISI.

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The image is a celebratory graphic for CISI's 13th anniversary in Sri Lanka. It features the same vertical banner on the left as slide 5. The central focus is a large photograph of a coastal city with a river, overlaid with the text "CISI is completing 13 years in Sri Lanka" in a large, bold, teal font. The bottom row of logos is identical to slide 5.

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**13
YEARS**

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**13
YEARS**

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Tracy Vergo OBE – Chief Executive Officer CISI
Asanka Wijeratne – Country Head for Sri Lanka
Kapila Dodamgoda – Founder/Managing Director of
 Academy of Finance

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Global Financial Compliance

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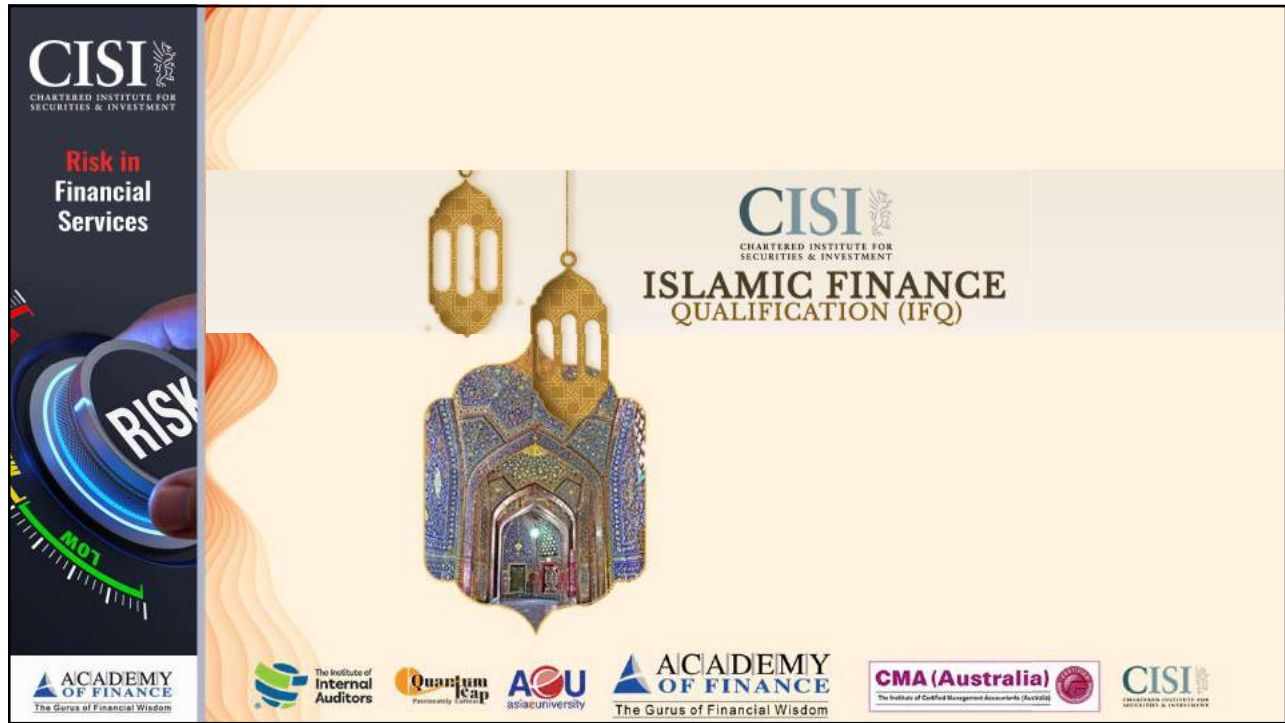
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ISLAMIC FINANCE QUALIFICATION (IFQ)

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The banner features a central image of a golden Islamic lantern and a blue and gold mosque facade. The background is a light beige with wavy patterns on the left side.

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PROFESSIONAL ASSESSMENT

Short online course with test and certificate

Certificate in Ethical Artificial Intelligence (AI)

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The banner features a central image of a glowing blue and purple tunnel of binary code. The background is a light beige with wavy patterns on the left side.

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**Risk in
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Services**




Academy of Finance

Founded thirteen years ago with the vision of

Empowering the next generation professional with financial wisdom

Mission


- Training and enriching non-finance professionals to become finance savvy and,
- Providing development opportunities and higher qualifications for finance professionals.










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**Risk in
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Introduction – Kapila Dodamgoda

Founder/Managing Director - Academy of Finance
Regional Director – ICMA Australia (Sri Lanka)
Independent Non-executive Director – Vallibel Finance PLC



MA Economics
Fellow CMA(Australia)
MCSI, FCMA, CGMA
B Sc Engineering, AMIESL
MCIM

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Risk in Financial Services




What is Risk in Financial Services Qualification?

- Risk in Financial Services Qualification is a comprehensive global introduction to the major risk areas in financial services.
- It provides a sound grounding in the principles of the risk management framework, corporate governance and risk oversight.


Eligible to use **ACSI** designation










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Risk in Financial Services





Practicing License – UAE SCA


Job Function	Exams Required for SCA License	Continuous Professional Development		
Director/ Head of Category	UAE Financial Rules and Regulations			
Chief Compliance Officer	UAE Financial Rules and Regulations	Combating Financial Crime	Introduction to Securities & Investment (International)	Global Financial Compliance
Chief Risk Management Officer	UAE Financial Rules and Regulations	Risk in Financial Services	Introduction to Securities & Investment (International)	Operational Risk
Head of Compliance	UAE Financial Rules and Regulations	Combating Financial Crime	Global Financial Compliance	
Head of Risk Management	UAE Financial Rules and Regulations	Risk in Financial Services	Combating Financial Crime	Derivatives
Operation Manager	UAE Financial Rules and Regulations	Global Securities Operation	Introduction to Securities & Investment (International)	Operational Risk
Investment Operation Manager	UAE Financial Rules and Regulations	Global Securities Operation	Introduction to Securities & Investment (International)	Risk in Financial Services
Trading Manager	UAE Financial Rules and Regulations	Fundamentals Of Financial Services	Derivatives	
Broker Representative	UAE Financial Rules and Regulations	Fundamentals Of Financial Services		

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Risk in Financial Services



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Practicing License – UAE SCA

Job Function	Exams Required for SCA License	Continuous Professional Development
Financial Adviser (FO)	UAE Financial Rules and Regulations Corporate Finance Technical Foundation	Risk in Financial Services
Financial Analyst/ Chief Analyst/ (Capital Market)	UAE Financial Rules and Regulations International Certificate in Wealth and Investment Management (Level 3)	Risk in Financial Services → Derivatives
Fund Investment Manager/ Portfolio Manager	UAE Financial Rules and Regulations International Certificate in Wealth and Investment Management (Level 3)	Risk in Financial Services → Wealth Management Practice
Promotion Manager	UAE Financial Rules and Regulations International Certificate in Wealth and Investment Management (Level 3)	Introduction to Securities & Investment (International) → Risk in Financial Services
Chief Technology Officer (CTO)	UAE Financial Rules and Regulations IT in Investment Operation	Introduction to Securities & Investment (International)
Internal Auditor	UAE Financial Rules and Regulations Operational Risk	Introduction to Securities & Investment (International)
Credit Rating Analyst/ Head of Credit Rating Analyst	UAE Financial Rules and Regulations Risk in Financial Services	Corporate Finance Technical Foundations

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Who Should Study?

This qualification is aimed at;

- Risk teams
- Branch management
- Corporate lawyers
- Finance officers
- Senior managers of all disciplines
- Existing and aspiring Non-executive Directors

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What are the Module Contents?

1. The risk management framework
2. International risk regulation
3. Operational risk
4. Credit risk
5. Market risk
6. Investment risk
7. Liquidity risk
8. Corporate governance and risk
9. Model risk
10. Enterprise risk management



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CISI Supporters..



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Risk in Financial Services



About CISI



Asanka Wijeratne
Chartered MCSI
Country Head – Sri Lanka
Chartered Institute for Securities & Investment









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Risk in Financial Services



Lead Facilitator



CHAAMINDA KUMARASIRI
FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.

Mr. Kumarasiri is a Senior Chartered Accountant, Management Consultant, Keynote Speaker, Business Advisor and a Corporate Trainer with a proven track record, holding senior leadership positions in leading local entities and multinationals.

He is the Founder/President of Asia Pacific Institute of Money and Entrepreneurship Development, the Founder/CEO of the Human Capital Partner, the Chairman/Principal Consultant of H C P Consulting (Pvt.) Ltd. He serves as an Independent Non-Executive Director at Sanasa Development Bank PLC and an advisor/consultant to a few leading Organizations both in the Private Sector and Public Sector. He also serves on the International Panel on Accounting Education (IPAE), as the only representative from the entire South Asia. He has served on the Governing Council of CA Sri Lanka for two consecutive terms and currently serves as the Vice Chairman of ACCA Sri Lanka Member Network Panel.










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Lead Facilitator









CHAAMINDA KUMARASIRI
FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.

He is a Fellow member of The Institute of Chartered Accountants of Sri Lanka, The Association of Chartered Certified Accountants – UK, The Association of Accounting Technician of Sri Lanka and The Institute of Certified Management Accountants of Sri Lanka. He has obtained a B.Sc. Accountancy (Special) degree with a First Class honors from the University of Sri Jayewardenepura and holds an MBA in Finance from the University of Colombo.

He has been bestowed with ACCA National Advocate of the Year Award - 2022 for his exceptional commitment and dedication to promote the accountancy profession and its values.

As a leading Corporate Trainer he has inspired over 500,000 individuals both in Sri Lanka and overseas.

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Academy of Finance Team





















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Risk in Financial Services




What is the assessment mechanism?

Computer based examination


- Two-hour paper
- 100 multiple-choice questions
- Pass mark of 70%

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Risk in Financial Services



Academy of Finance Study Package

- CIS Official Text
- Virtual Classes – Minimum 50 hours
- Access to Recorded Sessions
- Mini Quizzes from the beginning
- Revision Portal with Topic-wise Quizzes
- Three Mock Examinations at the end


Class Timing Tuesday - 7.00 pm to 9.30 pm

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How much should you pay CISI?

- CISI Registration Fee
£ 40
- CISI Examination Fee
(Sri Lanka)
£ 120




Completion time **5** Months

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Academy of Finance Certificate

- Minimum attendance of 75%
- Participation in all three Mock Examinations
- Minimum 50% marks for the Mock Examinations



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Code of Conduct

Expected from the participants

- Join the session on time and be present throughout
- Be present with the video on
- Free to ask question, seek clarifications and discuss










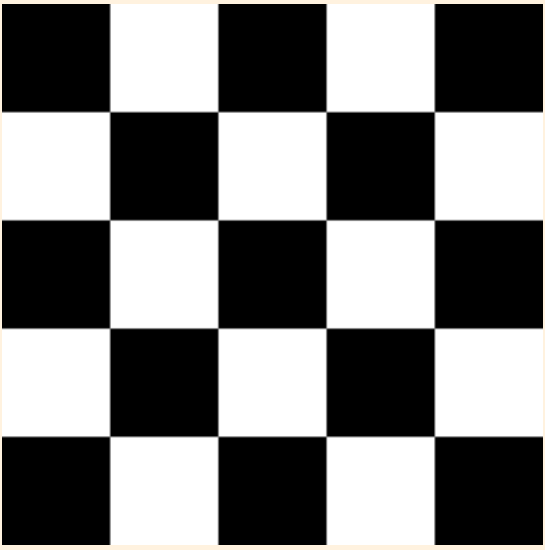

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Code of Conduct

Examination Success Formula

- Do quizzes from the beginning
- Do all the Mock Examinations
- Sit for the examination within the prescribed window



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Experience Sharing



Nishantha Jude Perera

Manager –Regional Risk
Seylan Bank PLC








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Experience Sharing



U.S Sulakshi Wickramatunge

Regional Manager Corporate & FCBU-
MCB Bank Limited

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Experience Sharing



Samantha Rathnayaka

Manager - Credit Risk
Commercial Bank of Ceylon

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Get to Know Your Class



- Your Name,
- Place of Work
- Designation








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Congratulations!

Recent success stories through Academy of Finance for "Risk in Financial Services program"



Samantha Ratnayaka
Manager - Credit Risk
Commercial Bank of Ceylon



U.S. Sulakshi Wickramatunge
Regional Manager Corporate &
FCBU-NCB Bank Limited



Rajith Jayawardana
Executive - Post Credit and
Integrated Risk
Sampath Bank



Nishantha Juda Perera
Manager - Regional Risk
Seylan Bank PLC

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We wish you all the best for your future endeavors








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What is the Next Step

- **If you are already registered**
Get access to the learning portal
- **If you are interested, not yet registered**
Contact us for registration




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Questions and Answers

Q&A
Questions Answers



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