



CISI
 CHARTERED INSTITUTE FOR
 SECURITIES & INVESTMENT

Global Financial Compliance

YOUR PATHWAY TO
 A GLOBAL JOURNEY



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GLOBAL FINANCIAL COMPLIANCE
 YOUR PATHWAY TO A GLOBAL JOURNEY



FREE INDUCTION 08th April
 from 3.00 pm to 5.30 pm

DURATION : 5 MONTHS
JOIN THE NEXT INTAKE

Key Features

Specialist qualification covering key aspects of compliance
 Globally portable and addresses international issues reflecting the needs of worldwide market

Who should Study

Suitable for all compliance staff
 Especially relevant for Compliance Officers

WORLD WIDE
 **ZOOM classes**
 **Online exams**

Combination of the CISI modules will make you eligible for a practicing license under the UAE Securities & Commodities Authority Licensing Regime (SCA).

Candidates upon completion are eligible use to **ACSI** designatory letters and progress to higher levels.

ACADEMY OF FINANCE
 The Gurus of Financial Wisdom

+94 772 840 850
 yameera@academy.lk

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Specialist qualification covering
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Globally portable and addresses
international issues reflecting
the needs of worldwide market

**International
Access Granted.**

What You Will Learn
1.The international regulatory
environment
2.The compliance function
3.Managing the risk of financial crime
4.Ethics, Integrity and Fairness
5.Governance, risk management
and compliance

Who Should Study
Suitable for all compliance staff
Especially relevant for
Compliance Officers

JOIN THE NEXT INTAKE APRIL
Duration : 5 Months

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WORLD WIDE
ZOOM classes
Online exams

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What you will learn

- The international regulatory environment
- Ethics, Integrity and Fairness
- The compliance function
- Managing the risk of financial crime
- Governance, risk management & compliance

DURATION : 5 MONTHS **JOIN THE NEXT INTAKE**

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When you are getting ready to launch into space, you
are sitting on a big explosion waiting to happen.

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In business, if you think compliance and risk
management is expensive - try non-compliance.

**Risk in Financial
Services**

Two Certifications to CHOOSE from



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SCANNING...






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What is CISI?

Chartered Institute of Securities & Investments

- The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and financial centres globally.
- Formed in 1992 by London Stock Exchange.
- CISI has a global community of 45,000 members in over 100 countries.



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SRI LANKA

CISI is completing 12 years in Sri Lanka

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CISI Sri Lanka Office



Asanka Wijeratne
Chartered MCSI
Country Head – Sri Lanka
Chartered Institute for
Securities & Investment

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ACADEMY OF FINANCE
The Gurus of Financial Wisdom

Founded twelve years ago with the vision of;
Empowering the next generation professional with financial wisdom

Mission

- Training and enriching non-finance professionals to become finance savvy and,
- Providing development opportunities and higher qualifications for finance professionals.



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Introduction KAPILA DODAMGODA

Founder/Managing Director - Academy of Finance
Regional Director – ICMA Australia (Sri Lanka)
Former Finance Director of MAS Investments

MA Economics
FCMA, CGMA
Fellow CMA(Australia)
B Sc Engineering
AMIESL, MCIM



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What Global Financial Compliance Qualification?


- CISI Global Financial Compliance qualification provides a comprehensive introduction to all the issues a global compliance officer may encounter.
- It addresses techniques used to implement successful compliance functions in organisations.
- The qualification further addresses international issues including the international regulatory environment, managing the risk of financial crime, governance, risk management, ethics, integrity and fairness.








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Who Should Study?


This qualification is aimed at;

- Compliance staff in banks and finance companies
- Corporate compliance officers
- Auditors
- Corporate lawyers
- Existing & aspiring non-executive directors

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What are the Module Contents?

1. The international regulatory environment
 - Models of regulation
 - The international approach to regulation
2. The compliance function
 - International best practice
 - The role of the compliance function within a firm
 - Managing regulatory relationships
3. Managing the risk of financial crime
 - Money laundering
 - Terrorist financing and the standards required of firms
 - Practical implications
 - Policies, procedures and controls required of firms

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What are the Module Contents?

4. Ethics, Integrity and Fairness
 - Ethics
 - Market integrity
 - Fair conduct
 - Fair dealing

5. Governance, risk management and compliance
 - Corporate governance
 - Key risk types, their application and impact on the compliance function
 - Compliance risks



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CISI Supporters..



SEC
SECURITIES AND EXCHANGE
COMMISSION OF SRI LANKA



HSBC



NDI



HNB



DFCC
BANK



citi



COMMERCIAL BANK



London
Stock Exchange Group



virtusa
Accelerating Business Outcomes



SLASSCOM
THE KNOWLEDGE AND INNOVATION CHAMBER



MillenniumIT
LSEG technology



namal
NATIONAL ASSET MANAGEMENT LTD



ASIA
SECURITIES
(PRIVATE)
LIMITED



ASIA
SECURITIES
(PRIVATE)
LIMITED



GAL



First Capital



WNS
Extending Your Enterprise



ACUITY
A joint venture of DFCC Bank and HNB

















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SHANE GODWIN



Chief Compliance Officer
HSBC Philippines

As the Chief Compliance Mr Shane Godwin provides leadership and strategic direction to the compliance function and risk stewardship to AML, Sanctions, AB&C, Fraud and Tax evasion risks. Prior to this he has been the Chief Compliance Officer – HSBC Philippines.

Mr. Godwin is a Chartered Global Management Accountant and an Associate Member of the Chartered Institute of Management Accountants (UK). He holds a Master Degree from the Postgraduate Institute of Management Sri Lanka. He has been a ACAMS member since 2018 and is also Certified Fraud Examiner (CFE).

His overall experience spans over 17 years at HSBC covering a broad range of disciplines. Particularly strong in Financial Crime Risk management including Anti Money Laundering, Sanctions and CDD policy implementation, Financial Crime Risk Project Management, Financial Crime Risk Assurance and Financial crime Risk remediation.

He is an executive committee member of HSBC Philippines








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PRASANNA KARIYAWASAM



Former
Country Data Manager of HSBC
Sri Lanka and Maldives
Current
NHS UK

Mr Prasanna Kariyawasam is a multidisciplinary professional with strong academic backing and having years of hands on experience in managing high end services, together with diversified exposure to highly regulated financial services industries international and local, including data management, accounting, legal, leasing, compliance reporting. He has held many management roles in banks and BPOs.

He is a holder of Master of Business Administration (MBA) from the University of Colombo and Bachelor of Science (BSc) degree from the University of Kelaniya together with the professional qualifications from CIMA UK as an Associate Member of the Chartered Institute of Management Accountants (ACMA), Chartered Global Management Accountants (CGMA) and he is a fully qualified Attorney-at-Law from the Sri Lanka Law College.

Prasanna is having over 12 years of lecturing experience for undergraduate programs for North Wood University (US) and Monash (Australia) with excellent rating recorded in every programme.








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**FIRST BATCH TO QUALIFY
CISI GLOBAL FINANCIAL
COMPLIANCE 100% Pass Rate**


| | | | |
|--|--|---|---|
|  Buddika Heeriyamullage Managing Director Alliance Management System (Pvt) Ltd |  Sagara Hemal Vittharana Executive - Compliance HDFC Bank of Sri Lanka |  Dhanusha Weerasuriya Executive- Travels & Money Transfer Department - City Office Hutton National Bank PLC |  Venishiya Wickramatunge Assistant Vice President HSBC |
|  Vishmi Pathirana Prm Inimata Lanka Finance Executive |  Sathya Jayawardena Senior Manager - Risk & Control - Espolanka Holdings PLC |  Surantha Jayatilake National Trust Bank Assistant Vice President Internal Audit |  Anne Denis Executive Compliance Amaro Bank PLC |

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Contact us to join the next intake
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We wish you all the best for your future endeavors.


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How much is the cost of CISI Examinations?


- CISI Registration Fee
£ 25
- CISI Examination Fee
£ 103



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Internal Auditors, Quantium City, ACM, CMA (Australia), CISI

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What is the assessment mechanism?


The assessment

Computer based examination


- Two-hour paper
- 100 multiple-choice questions
- Pass mark of 70%



The diagram illustrates the assessment process with icons for AUDIT, ANALYSIS, GOAL, MEASURE, RESULT, EVALUATION, PLAN, and PROCESS. It also includes checkmarks for QUALITY and QUANTITY.




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Internal Auditors, Quantum Risk, ACM, ACADEMY OF FINANCE, CMA (Australia), CISI

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Academy of Finance Study Package


- CIS Official Text
- Virtual Classes – Minimum 50 hours
- Access to Recorded Sessions
- Mini Quizzes from the beginning
- Revision Portal with Topic-wise Quizzes
- Three Mock Examinations at the end

Class Timing Wednesdays - 7.00 pm to 9.30 pm

Class Timing Saturdays - 3.00 pm to 5.30 pm




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How Long Does it Take to COMPLETE?





+ Topic-wise Revision Quizzes
+ Mock Examinations

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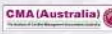

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Academy of Finance Certificate


- Minimum attendance of 75%
- Participation in all three Mock Examinations
- Minimum 50% marks for the Mock Examinations

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What we Expect from you?

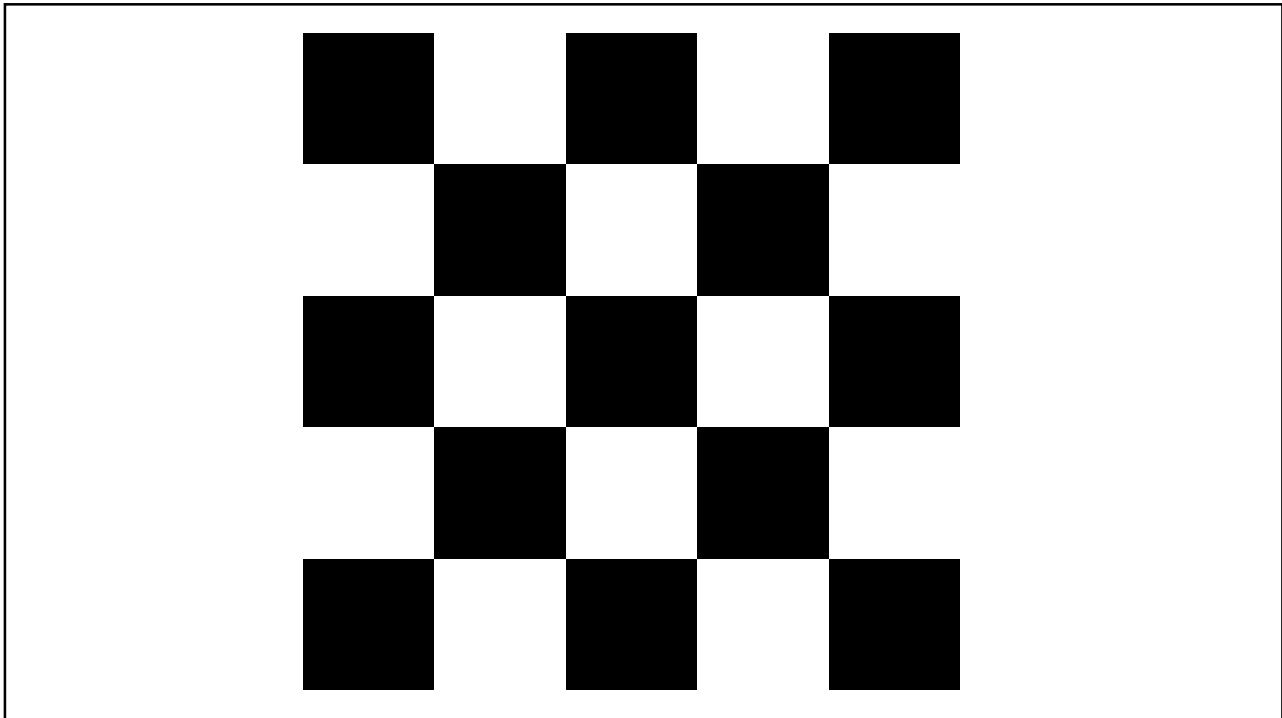
- Attendance
- Join the class on time
- Interact with the lecturer and ask questions
- Do quizzes from the beginning
- Do all the Mock Examinations
- Sit for the examination within the prescribed window



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Get to Know Your Class




- Your Name,
- Place of Work
- Designation




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Questions and Answers



Q & A

You have Questions We have Answers



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What is the next step?

- Those who have not registered yet
Please get in touch with us for registration
- Those who have completed registration
Please get in touch for access to e-learning



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Trust
THE
magic of
NEW
beginnings



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