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Agenda

- Introduction - Academy of Finance & CISI
- Risk in Financial Services
- Learning content of the programme
- Assessment mechanism
- Financial commitment
- Code of conduct
- Get to know - Lead module facilitator
- Get to know - Academy of Finance staff
- Get to know – Colleagues in the class
- Way forward








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The Essential Qualification for Tomorrow's Risk Practitioner

CISI Risk in Financial Services qualification is a comprehensive global introduction to major risk areas in financial services which provides a sound grounding in Principles of the risk management framework & Corporate governance, and risk oversight.

Completed candidates can become **CISI Associate Members** and use the **ACSI designation**.

Combinations of the CISI modules will make you eligible for practising licenses in the UAE and other GCC countries.

Who should study?

- Risk teams
- Finance officers
- Compliance teams
- Branch management
- Corporate lawyers
- Senior managers of all disciplines
- Existing & aspiring non-executive directors

Get ready to **jump in**

FREE INDUCTION 24th Nov. from 6.30 pm to 9.00 pm

zoom session

ACADEMY OF FINANCE The Gurus of Financial Wisdom +94772 840 850 yameera@academy.lk www.academy.com.lk








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What is CISI?

Chartered Institute of Securities and Investments (CISI)

- The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and financial centers globally.
- Formed in 1992 by London Stock Exchange for practitioners, CISI has a global community of 45,000 members in over 100 countries.








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The Institute of Internal Auditors



Quantum Leap
Pursuing the Possible



AQU
asia university



ACADEMY OF FINANCE
The Gurus of Financial Wisdom



CMA (Australia)
The Institute of Certified Management Accountants (Australia)



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CISI is completing 12 years in Sri Lanka



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Simon Culhane – Chief Executive Officer CISI
Asanka Wijeratne – Head of Business Development with
Kapila Dodamgoda – Managing Director of Academy of Finance

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INTERNATIONAL CERTIFICATE IN WEALTH & INVESTMENT MANAGEMENT (ICWIM)

ACADEMY OF FINANCE
The Gurus of Financial Wisdom

The Institute of Internal Auditors | Quantum Leap | AOU | ACADEMY OF FINANCE | CMA (Australia) | CISI

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Global Financial Compliance

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Introduction – Kapila Dodamgoda

Founder/Managing Director - Academy of Finance
Regional Director – ICMA Australia (Sri Lanka)
Former Finance Director of MAS Investments

MA Economics
Fellow CMA(Australia)
FCMA, CGMA
B Sc Engineering, AMIESL
MCIM

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Academy of Finance

Founded thirteen years ago with the vision of

Empowering the next generation professional with financial wisdom

Mission

- Training and enriching non-finance professionals to become finance savvy and,
- Providing development opportunities and higher qualifications for finance professionals.








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What is Risk in Financial Services Qualification?

- Risk in Financial Services Qualification is a comprehensive global introduction to the major risk areas in financial services.
- It provides a sound grounding in the principles of the risk management framework, corporate governance and risk oversight.


Eligible to use ACSI designation








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Practicing License – UAE SCA

UAE Securities and Commodities Authority Licensing Regime

Job Function	Broker Representative	Compliance Officer/Anti-Money Laundering Officer/Internal Controller	Trading/Operations Manager/Clearing Officer	Risk Management Officer	Financial Analyst	Investment Manager/Investment Chief	Promoter
Exams Required for SCA License	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE financial Rules and Regulations
	Introduction to Securities and Investment (International)	Introduction to Securities and Investment (International)	Introduction to Securities and Investment (International)	Introduction to Securities and Investment (International)	International Certificate in Wealth and Investment Management (level 3)	International Certificate in Wealth and Investment Management (level 3)	Introduction to Securities and Investment (international)
	Securities	Securities	Securities	Risk in Financial Services	Risk in Financial Services	Risk in Financial Services	International Certificate in Wealth and Investment Management (Level 3)
		Global Financial Compliance	Operational Risk	Operational Risk	Securities	International Certificate in Advanced Wealth Management (level 4)	Risk in Financial Services


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Who Should Study?

This qualification is aimed at;

- Risk teams
- Branch management
- Corporate lawyers
- Finance officers
- Senior managers of all disciplines
- Existing and aspiring Non-executive Directors




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What are the Module Contents?

1. The risk management framework
2. International risk regulation
3. Operational risk
4. Credit risk
5. Market risk
6. Investment risk
7. Liquidity risk
8. Corporate governance and risk
9. Model risk
10. Enterprise risk management



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CISI Supporters..

















































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About CISI



Asanka Wijeratne

Chartered MCSI

Country Head – Sri Lanka

Chartered Institute for
Securities & Investment













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CHAAMINDA KUMARASIRI

**FCA, FCCA, FCMA, FMAAT, MBA,
B.Sc. Accountancy 1st Class Hons.**

**Banker | Senior Chartered Accountant | Mgt.
Consultant**

- Senior Management Consultant with over 20 years of experience in the Corporate Sector holding senior leadership positions in leading blue-chips and multinationals (Financial Controller at Bank of Ceylon, CFO at Lanka Hospitals and AVP – HSBC Securities Services).
- Director – Telecommunications Regulatory Commission of Sri Lanka (TRCSL)
- Governing Council Member - The Institute of Chartered Accountants of Sri Lanka
- Member of the International Panel on Accounting Education at the International Federation of Accountants (IFAC)
- An Independent Non-Executive Director – SDB Bank PLC (Chairing Board Audit Committee, Board Human Resources and Remuneration/Board Integrated Risk Management Committees)
- Lecturer at Several State and Private Educational Institutions and Universities








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Get to know Academy Staff



Pavithra



Yameera



Himasha








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Himali **Upeksha**



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Hansi **Rumaiza**



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Madhavi



Renuka




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What is the assessment mechanism?



Computer based examination

- Two-hour paper
- 100 multiple-choice questions
- Pass mark of 70%











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Academy of Finance Study Package

- CIS Official Text
- Virtual Classes – Minimum 50 hours
- Access to Recorded Sessions
- Mini Quizzes from the beginning
- Revision Portal with Topic-wise Quizzes
- Three Mock Examinations at the end

Class Timing Thursdays - 7.00 pm to 9.30 pm









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How much should you pay CISI?



- CISI Registration Fee
£ 35-25
- CISI Examination Fee
£ 125-103



Completion time 5 Months















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Academy of Finance Certificate

- Minimum attendance of 75%
- Participation in all three Mock Examinations
- Minimum 50% marks for the Mock Examinations



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Code of Conduct

Expected from the participants

- Join the session on time and be present throughout
- Be present with the video on
- Free to ask question, seek clarifications and discuss






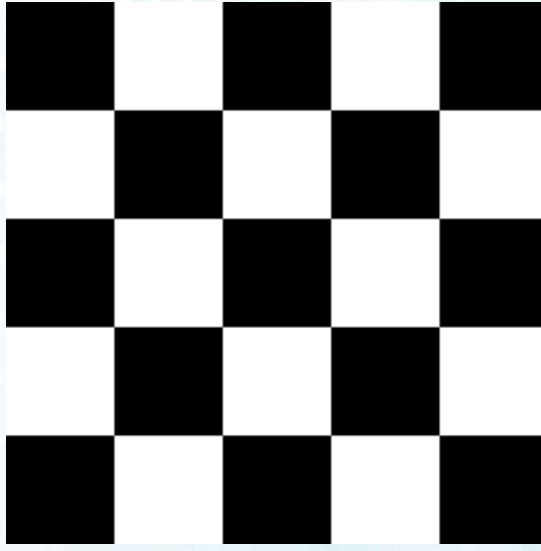





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Code of Conduct

Examination Success Formula



- Do quizzes from the beginning
- Do all the Mock Examinations
- Sit for the examination within the prescribed window




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Get to Know Your Class




- Your Name,
- Place of Work
- Designation







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Questions and Answers



Questions Answers

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What is the Next Step

If you are already registered
Get access to the learning portal



If you are interested, not yet registered
Contact us for registration



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THE JOURNEY BEGINS



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THANK YOU !!!

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PERSONALITY. POTENTIAL.

 AQUA
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