




Global Financial Compliance

GLOBALLY PORTABLE SPECIALIST QUALIFICATION




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GLOBAL FINANCIAL COMPLIANCE



Scuba Diving is a dangerous sport, when engaging in a dangerous sport safety compliance is a critical factor that needs to be considered.



BUSINESS IS A DANGEROUS GAME AND COMPLIANCE IS CRITICAL.



Global Financial Compliance

Globally portable specialist qualification, covering key aspects of compliance, reflecting the needs of worldwide market.

What you will learn

- The international regulatory environment
- Managing the risk of financial crime
- The compliance functions, Ethics, Integrity & Fairness
- Governance, risk management and compliance

JOIN FROM ANYWHERE

Zoom classes

Online exams

Candidates upon completion are eligible to use **ACSI** designatory

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FOR MORE INFO Yameera - 0772 840 850
yameera@academy.lk

JOIN THE NEXT INTAKE - APRIL
Duration : 5 Months

paragliding is a dangerous sport, when engaging in a dangerous sport safety compliance is a critical factor that needs to be considered.



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Duration : 5 Months

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Introduction

KAPILA DODAMGODA

Founder/Managing Director - Academy of Finance
Regional Director – ICMA Australia (Sri Lanka)
Director – NERD Centre
Former Finance Director of MAS Investments

MA Economics
FCMA, CGMA
Fellow CMA(Australia)
B Sc Engineering, AMIESL
MCIM

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Global Financial Compliance

Who Should Join

- Suitable for all compliance staff
- Especially relevant for Compliance Officers

FREE INDUCTION **01st June**
from 7.00 pm to 9.00 pm

Candidates upon completion are eligible use to **ACSI** designatory letters and progress to higher levels.

zoom session REGISTER NOW!

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ACM Asian College of Management

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The Institute of Certified Management Accountants (Australia)

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When you are getting ready to launch into space, you are sitting on a big explosion waiting to happen.

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In business, if you think compliance and risk management is expensive - try non-compliance.

Risk in Financial Services

Two Certifications to CHOOSE from

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What is CISI?

Chartered Institute of Securities & Investments

- The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and financial centres globally.
- Formed in 1992 by London Stock Exchange.
- CISI has a global community of 45,000 members in over 100 countries.

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SRI LANKA

CISI is completing 12 years in Sri Lanka

Logos at the bottom: ACM, Quantum Leap, AOU, ACADEMY OF FINANCE, CMA (Australia), The Institute of Internal Auditors, CISI.

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About CISI

Asanka Wijeratne
Chartered MCSI
Country Head – Sri Lanka
**Chartered Institute for
Securities & Investment**

Logos at the bottom: ACM, Quantum Leap, AOU, ACADEMY OF FINANCE, CMA (Australia), The Institute of Internal Auditors, CISI.

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Founded twelve years ago with the vision of;

Empowering the next generation professional with financial wisdom

Mission

- Training and enriching non-finance professionals to become finance savvy and,
- Providing development opportunities and higher qualifications for finance professionals.









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**12
YEARS**



**ACADEMY
OF FINANCE**

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What Global Financial Compliance Qualification?

- CISI Global Financial Compliance qualification provides a comprehensive introduction to all the issues a global compliance officer may encounter.
- It addresses techniques used to implement successful compliance functions in organisations.
- The qualification further addresses international issues including the international regulatory environment, managing the risk of financial crime, governance, risk management, ethics, integrity and fairness.




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Who Should Study?

This qualification is aimed at;

- Compliance staff in banks and finance companies
- Corporate compliance officers
- Auditors
- Corporate lawyers
- Existing & aspiring non-executive directors



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What are the Module Contents?

1. The international regulatory environment
 - Models of regulation
 - The international approach to regulation
2. The compliance function
 - International best practice
 - The role of the compliance function within a firm
 - Managing regulatory relationships
3. Managing the risk of financial crime
 - Money laundering
 - Terrorist financing and the standards required of firms
 - Practical implications
 - Policies, procedures and controls required of firms



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What are the Module Contents?

4. Ethics, Integrity and Fairness
 - Ethics
 - Market integrity
 - Fair conduct
 - Fair dealing
5. Governance, risk management and compliance
 - Corporate governance
 - Key risk types, their application and impact on the compliance function
 - Compliance risks



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CISI Supporters..



First Capital



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SHANE GODWIN

Chief Compliance Officer
HSBC Philippines

As the Chief Compliance Mr Shane Godwin provides leadership and strategic direction to the compliance function and risk stewardship to AML, Sanctions, AB&C, Fraud and Tax evasion risks. Prior to this he has been the Chief Compliance Officer – HSBC Sri Lanka

Mr. Godwin is a Chartered Global Management Accountant and an Associate Member of the Chartered Institute of Management Accountants (UK). He holds a Master Degree from the Postgraduate institute of Management Sri Lanka. He has been a ACAMS member since 2018 and is also Certified Fraud Examiner (CFE).

His overall experience spans over 17 years at HSBC covering a broad range of disciplines. Particularly strong in Financial Crime Risk management including Anti Money Laundering, Sanctions and CDD policy implementation, Financial Crime Risk Project Management, Financial Crime Risk Assurance and Financial crime Risk remediation.

He is an executive committee member of HSBC Philippines

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PRASANNA KARIYAWASAM



Country Data Manager of HSBC
Sri Lanka and Maldives

Mr Prasanna Kariyawasam is a multidisciplinary professional with strong academic backing and having years of hands on experience in managing high end services, together with diversified exposure to highly regulated financial services industries international and local, including data management, accounting, legal, leasing, compliance reporting. He has held many management roles in banks and BPOs.

He is a holder of Master of Business Administration (MBA) from the University of Colombo and Bachelor of Science (BSc) degree from the University of Kelaniya together with the professional qualifications from CIMA UK as an Associate Member of the Chartered Institute of Management Accountants (ACMA), Chartered Global Management Accountants (CGMA) and he is a fully qualified Attorney-at-Law from the Sri Lanka Law College.

Prasanna is having over 11 years of lecturing experience for undergraduate programs for North Wood University (US) and Monash (Australia) with excellent rating recorded in every programme.









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Surantha Jayatilake

Nations Trust Bank
Assistant Vice President
Internal Audit.









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Congratulations

**FIRST BATCH TO QUALIFY
CISI GLOBAL FINANCIAL
COMPLIANCE
100% Pass Rate**

 Buddika Heeriyamullage Managing Director Alliance Management System (Pvt) Ltd	 Sagara Hermal Vitharana Executive – Compliance HDFC Bank of Sri Lanka	 Dhanusha Weerasuriya Executive- Travels & Money Transfer Department- City Office Horton National Bank PLC	 Venishiya Wickramatunge Assistant Vice President HSBC
 Vishmi Pathirana Pym Intimates Lanka Finance Executive	 Sathya Jayawardene Senior Manager -Risk & Control - Expolanka Holdings PLC	 Surantha Jayatilake Nations Trust Bank Assistant Vice President Internal Audit.	 Anne Denis Executive Compliance Amana Bank PLC

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*We wish you all the
best for your future
endeavors.*


















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How much is the cost of CISI Examinations?

- CISI Registration Fee
£ ~~25~~ £ 25
- CISI Examination Fee
£ ~~125~~ £ 103



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What is the assessment mechanism?

The assessment


Computer based examination

- Two-hour paper
- 100 multiple-choice questions
- Pass mark of 70%



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Academy of Finance Study Package


- CIS Official Text
- Virtual Classes – Minimum 50 hours
- Access to Recorded Sessions
- Mini Quizzes from the beginning
- Revision Portal with Topic-wise Quizzes
- Three Mock Examinations at the end

Class Timing Wednesdays - 7.00 pm to 9.30 pm


Class Timing Saturdays - 3.00 pm to 5.30 pm


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How Long Does it Take to COMPLETE?



- + Topic-wise Revision Quizzes
- + Mock Examinations




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Academy of Finance Certificate


- Minimum attendance of 75%
- Participation in all three Mock Examinations
- Minimum 50% marks for the Mock Examinations




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What we Expect from you?

- Attendance
- Join the class on time
- Interact with the lecturer and ask questions
- Do quizzes from the beginning
- Do all the Mock Examinations
- Sit for the examination within the prescribed window

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You may also be interested about

The CIA Qualification from The IIA

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FREE INDUCTION 03rd June GMT 15.30

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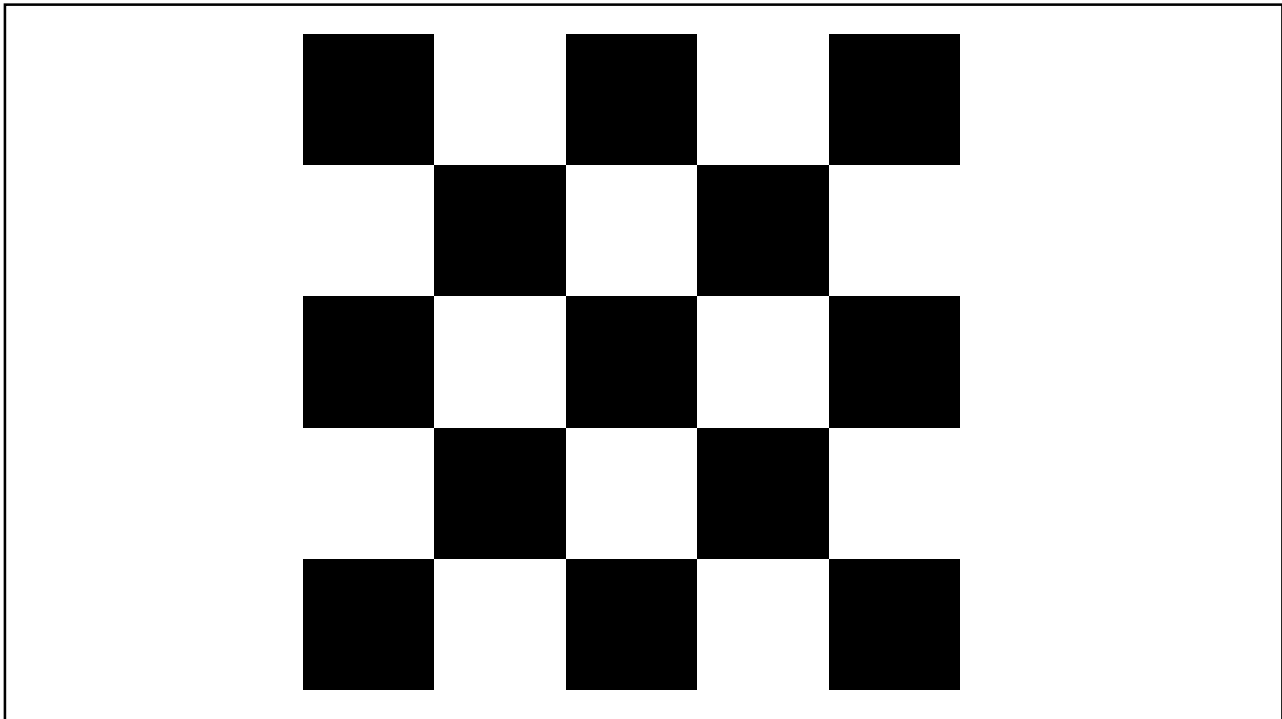








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SCANN

Get to Know Your Class

- Your Name,
- Place of Work
- Designation

ACM
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Questions and Answers



You have Questions We have Answers

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What is the next step?

Please get in touch with us for registration



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