





1



**Global Financial Compliance**





## Introduction


# KAPILA DODAMGODA




**Founder/Managing Director - Academy of Finance**  
**Regional Director – ICMA Australia (Sri Lanka)**  
**Director – NERD Centre**  
**Former Finance Director of MAS Investments**

**MA Economics**  
**FCMA, CGMA**  
**Fellow CMA(Australia)**  
**B Sc Engineering, AMIESL**  
**MCIM**



2



3

When you are getting ready to launch into space, you are sitting on a big explosion waiting to happen.

In business, if you think compliance and risk management is expensive - try non-compliance.

**Global Financial Compliance**

**Risk in Financial Services**

**Two Certifications to CHOOSE from**

**CISI**  
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

**ACADEMY OF FINANCE**  
The Gurus of Financial Wisdom

4



**CISI**  
CHARTERED INSTITUTE FOR  
SECURITIES & INVESTMENT

**Global Financial Compliance**

**Risk in Financial Services**

**International Access Granted.**

**zoom session**

**FREE INDUCTION** 01<sup>st</sup> May  
from 3.00 pm to 5.30 pm

Completed candidates can become **CISI Associate Members** and use the **ACSI** designation.

**REGISTER NOW!**

**Who Should Join**  
Suitable for all compliance staff  
Especially relevant for Compliance Officers

Learn from the Leaders in Finance Education

**ACADEMY OF FINANCE**  
The Gurus of Financial Wisdom

**ACM** **Quantum Leap** **ACADEMY** **ACU** **ACADEMY OF FINANCE** **CMA (Australia)** **The Institute of Internal Auditors** **CISI** **Corporate Finance Institute**

5



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**Risk in Financial Services**

**Get ready to jump in**

**FREE INDUCTION** 29<sup>th</sup> APRIL  
from 7.00 pm to 9.30 pm

**REGISTER NOW!**

**zoom session**

**Risk in Financial Services**

The Essential Qualification for Tomorrow's Risk Practitioner

Completed candidates can become **CISI Associate Members** and use the **ACSI** designation.

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6





7



8



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## About CISI



**Asanka Wijeratne**


**Chartered MCSI**

**Country Head – Sri Lanka**


**Chartered Institute for Securities & Investment**



9




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## What is CISI?

**Chartered Institute of Securities & Investments**

- The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and financial centres globally.
- Formed in 1992 by London Stock Exchange.
- CISI has a global community of 45,000 members in over 100 countries.



10





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**SRI LANKA**

**CISI is completing 10 years in Sri Lanka**

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11



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The Gurus of Financial Wisdom

**Founded twelve years ago with the vision of;**  
Empowering the next generation professional with  
financial wisdom

**Mission**

- Training and enriching non-finance professionals to become finance savvy and,
- Providing development opportunities and higher qualifications for finance professionals.

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12



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**10 YEARS**

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Logos at the bottom: ACM, Quantum Leap, ACADEMY, ACU, ACADEMY OF FINANCE, CMA (Australia), The Institute of Internal Auditors, CISI, Corporate Finance Institute.

13



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**Who Should Study**  
Suitable for all Compliance Staff  
Especially relevant for Compliance  
Officers.

**Key Features**

- Specialist qualification covering key aspects of compliance.
- Globally portable qualification
- Addresses international issues reflecting the needs of worldwide market.

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The Gurus of Financial Wisdom

Candidates upon completion are eligible to use **ACSI** designatory letters & progress to higher level qualifications.

**VIRTUAL CLASSES AVAILABLE**

**JOIN THE NEXT INTAKE MARCH**  
Pavithra - 077 377 2750 pavithra@academy.lk

**What You Will Learn**

1. The international regulatory environment
2. The compliance function
3. Managing the risk of financial crime
4. Ethics, Integrity and Fairness
5. Governance, risk management and compliance

**Duration : 5 Months**

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14




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## What Global Financial Compliance Qualification?

- CISI Global Financial Compliance qualification provides a comprehensive introduction to all the issues a global compliance officer may encounter.
- It addresses techniques used to implement successful compliance functions in organisations.
- The qualification further addresses international issues including the international regulatory environment, managing the risk of financial crime, governance, risk management, ethics, integrity and fairness.




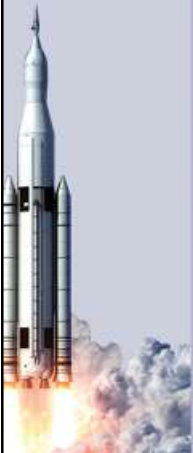





15












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## Who Should Study?


This qualification is aimed at;

- Compliance staff in banks and finance companies
- Corporate compliance officers
- Auditors
- Corporate lawyers
- Existing & aspiring non-executive directors

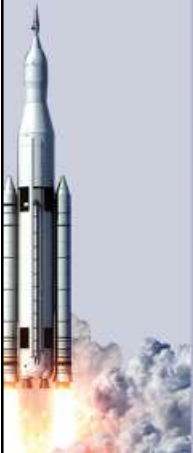










16













**Global Financial Compliance**



## What are the Module Contents?

1. The international regulatory environment
  - Models of regulation
  - The international approach to regulation
2. The compliance function
  - International best practice
  - The role of the compliance function within a firm
  - managing regulatory relationships
3. Managing the risk of financial crime
  - Money laundering
  - Terrorist financing and the standards required of firms
  - Practical implications
  - Policies, procedures and controls required of firms

17



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## What are the Module Contents?

4. Ethics, Integrity and Fairness
  - Ethics
  - Market integrity
  - Fair conduct
  - Fair dealing
5. Governance, risk management and compliance
  - Corporate governance
  - Key risk types, their application and impact on the compliance function
  - Compliance risks











18

## CISI Supporters..



19



### SHANE GODWIN



**Chief Compliance Officer –  
Leading Multinational Bank**

As the Chief Compliance Mr Shane Godwin provides leadership and strategic direction to the compliance function and risk stewardship to AML, Sanctions, AB&C, Fraud and Tax evasion risks.

Mr. Godwin is a Chartered Global Management Accountant and an Associate member of the Chartered institution of Management Accountants (UK). He holds a Master Degree from the Postgraduate institute of Management Sri Lanka. He has been a ACAMS member since 2018 and is also Certified Fraud Examiner (CFE).

His overall experience spans over 16 years at HSBC covering a broad range of disciplines. Particularly strong in Financial Crime Risk management including Anti Money Laundering, Sanctions and CDD policy implementation, Financial Crime Risk Project Management, Financial Crime Risk Assurance and Financial crime Risk remediation.

He is an executive committee member of HSBC Sri Lanka and Maldives.

20



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## PRASANNA KARIYAWASAM



**Country Data Manager  
Leading Multinational Bank**

Mr Prasanna Kariyawasam is a multidisciplinary professional with strong academic backing and having years of hands on experience in managing high end services, together with diversified exposure to highly regulated financial services industries international and local, including data management, accounting, legal, leasing, compliance reporting. He has held many management roles in banks and BPOs.

He is a holder of Master of Business Administration (MBA) from the University of Colombo and Bachelor of Science (BSc) degree from the University of Kelaniya together with the professional qualifications from CIMA UK as an Associate Member of the Chartered Institute of Management Accountants (ACMA), Chartered Global Management Accountants (CGMA) and he is a fully qualified Attorney-at-Law from the Sri Lanka Law College.

Prasanna is having over 11 years of lecturing experience for undergraduate programs for North Wood University (US) and Monash (Australia) with excellent rating recorded in every program.













21













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## How much does it cost?


- Local Tuition Fee  
Rs 37,500
- CISI Registration Fee  
£ 35
- CISI Examination Fee  
£ 135



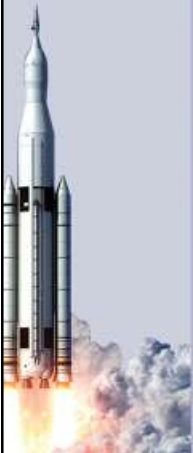










22





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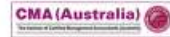



## What is the assessment mechanism?

The assessment


### Computer based examination

- Two-hour paper
- 100 multiple-choice questions
- Pass mark of 70%

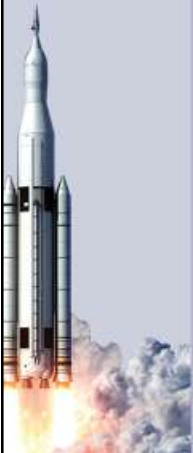


23












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## Academy of Finance Study Package

- CIS Official Text
- Virtual Classes – Minimum 50 hours
- Access to Recorded Sessions
- Question a Day
- Mini Quizzes from the beginning
- Revision Portal with Topic-wise Quizzes
- Three Mock Examinations at the end


### Class Timing Saturdays - 3.00 pm to 5.30 pm

24



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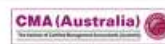



## How Long Does it Take to COMPLETE?

5


Months

+ Topic-wise Revision Quizzes

+ Mock Examinations












25



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## Academy of Finance Certificate


- Minimum attendance of 75%
- Participation in all three Mock Examinations
- Minimum 50% marks for the Mock Examinations












26




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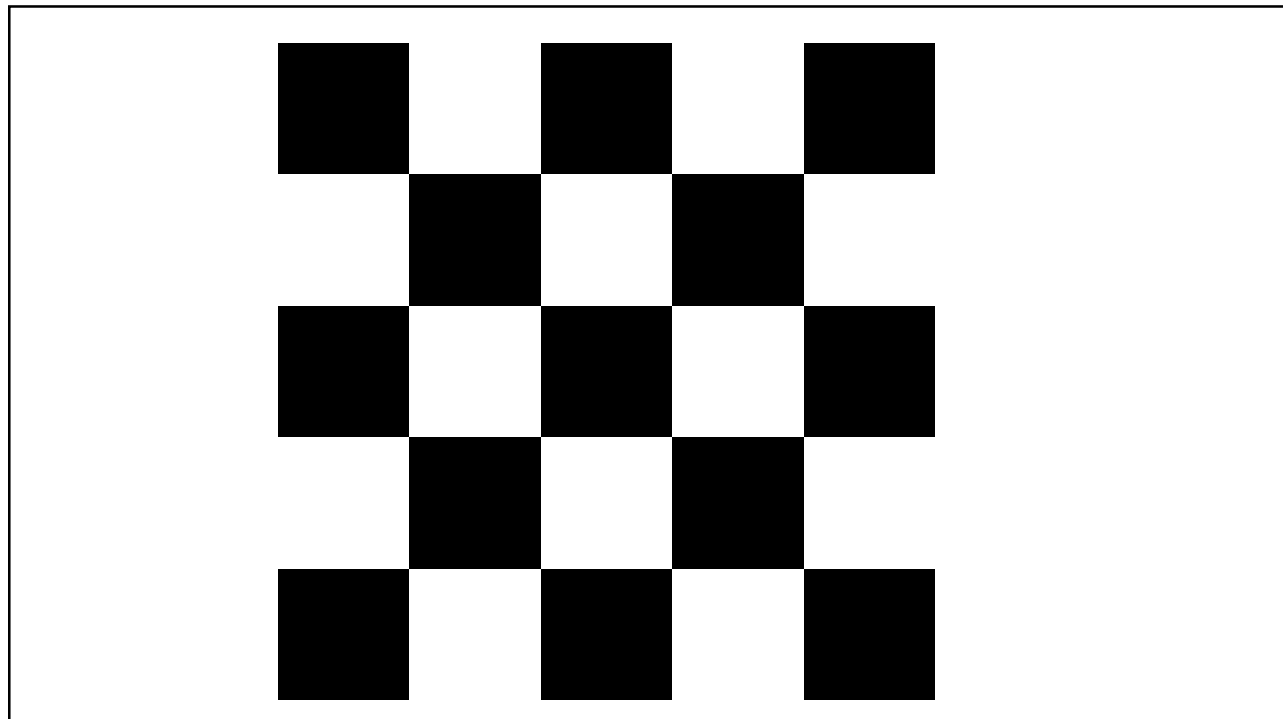


## What we Expect from you?

- Attendance
- Join the class on time
- Interact with the lecturer and ask questions
- Do quizzes from the beginning
- Do all the Mock Examinations
- Sit for the examination within the prescribed window



27



28





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## Get to Know Your Class



- Your Name,
- Place of Work
- Designation














29












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## Questions and Answers



30

**CMA (Australia)**  
The Institute of Certified Management Accountants (Australia)

**05<sup>th</sup> May**  
Wednesday  
7.00 pm  
to 8.00 pm

**FREE**  
FOR CMA MEMBERS

**MONEY LAUNDERING**  
—  
TRADITIONAL VS. DIGITAL:  
KEY LESSONS FOR BANKERS &  
FINANCE PROFESSIONALS

**PROGRAMME OVERVIEW**

Key lessons for banking and finance professionals in implementing anti-money laundering legislation;

- Methods adopted from traditional to the unconventional
- Types of controls and the role of financial services industry
- Significance of dark web and the emergence of crypto currencies

**FACILITATED BY**

**PROF. JANEK RATNATUNGA**  
PhD (Bradford, UK), MBA, DipM (UK)  
FCA, CPA, CMA (Aus)  
Chief Executive Officer (ICMA Australia)

**Limited**  
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Non-CMA Members  
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RESERVATION

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cma@academy.lk

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31

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**What is the next step?**

**Please get in touch with us for registration**

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32

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33

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**Risk in Financial  
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**THANK YOU !!!**

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34